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03-05-92

PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification [Total Pages **21**]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 U.S.C. 113) [Total Sheets **1**]
5. Oath or Declaration [Total Pages **2**]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. Application Data Sheet. See 37 CFR 1.76

| | |
|------------------------|---|
| Attorney Docket No. | 10001-29748 |
| First Inventor | Kenneth R. Schroll |
| Title | Adjustable, Reconfigurable, Multi-Port |
| Express Mail Label No. | EH655097416US |

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

| ACCOMPANYING APPLICATION PARTS | | |
|---------------------------------------|--|---|
| 9. | <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) | |
| 10. | <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) | <input checked="" type="checkbox"/> Power of Attorney |
| 11. | <input type="checkbox"/> English Translation Document (if applicable) | |
| 12. | <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 | <input type="checkbox"/> Copies of IDS Citations |
| 13. | <input type="checkbox"/> Preliminary Amendment | |
| 14. | <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) | |
| 15. | <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) | |
| 16. | <input checked="" type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. | |
| 17. | <input type="checkbox"/> Other: | |

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP)

of prior application No.: _____

Prior application information: _____

Examiner _____

Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

| | | | | |
|---|---|-------|-----------------------------|---|
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label | (Insert Customer Number or Bar Code Label here) | | <input type="checkbox"/> or | <input type="checkbox"/> Correspondence address below |
| Name | | | | |
| Address | 02574 | | | |
| City | Patent Trademark Office | State | | Zip Code |
| Country | Telephone | | Fax | |

| | | | |
|-------------------|--------------|-----------------------------------|--------|
| Name (Print/Type) | Mark P. Vrla | Registration No. (Attorney/Agent) | 43,973 |
| Signature | M P Vrla | Date | 3/4/02 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT \$ 824.

Complete if Known

| | | | |
|----------------------|--------------------|--|--|
| Application Number | | | |
| Filing Date | March 4, 2002 | | |
| First Named Inventor | Kenneth R. Schroll | | |
| Examiner Name | Unknown | | |
| Group Art Unit | Unknown | | |
| Attorney Docket No. | 10001-29748 | | |

METHOD OF PAYMENT

FEE CALCULATION (continued)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account Number 10-0460Deposit
Account Name JENNER & BLOCK, LLC Charge Any Additional Fee Required under 37 CFR 1.16 and 1.17 Applicant claims small entity status. See 37 CFR 1.27.

3. ADDITIONAL FEES

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Code (\$) | Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------------|----------------------------------|------------------|------------------|--|----------|
| 105 | 130 | 205 | 65 | Surcharge-late filing fee or oath | \$ _____ |
| 127 | 50 | 227 | 25 | Surcharge-late provisional filing fee or cover sheet | \$ _____ |
| 139 | 130 | 139 | 130 | Non-English specification | \$ _____ |
| 147 | 2,520 | 147 | 2,520 | For filing request for ex parte reexamination | \$ _____ |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | \$ _____ |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | \$ _____ |
| 115 | 110 | 215 | 55 | Extension for reply within 1 st month | \$ _____ |
| 116 | 400 | 216 | 200 | Extension for reply within 2 nd month | \$ _____ |
| 117 | 920 | 217 | 460 | Extension for reply within 3 rd month | \$ _____ |
| 118 | 1,440 | 218 | 720 | Extension for reply within 4 th month | \$ _____ |
| 128 | 1,960 | 228 | 980 | Extension for reply within 5 th month | \$ _____ |
| 119 | 320 | 219 | 160 | Notice of Appeal | \$ _____ |
| 120 | 320 | 220 | 160 | Filing a brief in support of appeal | \$ _____ |
| 121 | 280 | 221 | 140 | Request for oral hearing | \$ _____ |
| 138 | 1,510 | 138 | 1,510 | Petition to institute public use proceeding | \$ _____ |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | \$ _____ |
| 141 | 1,280 | 241 | 640 | Petition to revive - unintentional | \$ _____ |
| 142 | 1,280 | 242 | 640 | Utility issue fee (or reissue) | \$ _____ |
| 143 | 460 | 243 | 230 | Design issue fee | \$ _____ |
| 144 | 620 | 244 | 310 | Plant issue fee | \$ _____ |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | \$ _____ |
| 123 | 50 | 123 | 50 | Processing fee under 37 CFR 1.17(q) | \$ _____ |
| 126 | 180 | 126 | 180 | Submission of Information | \$ _____ |
| 581 | 40 | 581 | 40 | Disclosure Statement | \$ _____ |
| 146 | 740 | 246 | 370 | Recording each patent assignment per property (times # properties) | \$ _____ |
| 149 | 740 | 249 | 370 | Filing submission after final rejection (37 CFR § 1.129(a)) | \$ _____ |
| 179 | 740 | 279 | 370 | For each additional invention to be examined (37 CFR § 1.129(b)) | \$ _____ |
| 169 | 900 | 169 | 900 | Request for Continued Examination (RCE) | \$ _____ |
| | | | | Request for expedited examination of a design application | \$ _____ |
| | | | | Other fee (specify) | |

2. EXTRA CLAIM FEES

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee From | | FEE PAID |
|----------------------------------|----------------------------------|--------------|-------|---------------|
| | | Extra Claims | Below | |
| Total Claims | 19 | - 20** = | 0 | x 18. = 0. |
| Independent | 4 | - 3** = | 1 | x 84.00 = 84. |
| Claims | | | | |
| Multiple Dependent | | | | |

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description |
|----------------------------------|----------------------------------|---|
| 103 | 18 | 203 9 Claims in excess of 20 |
| 102 | 84 | 202 42 Independent claims in excess of 3 |
| 104 | 280 | 204 140 Multiple dependent claim, if not paid |
| 109 | 84 | 209 42 **Reissue independent claims over original patent |
| 110 | 18 | 210 9 **Reissue claims in excess of 20 and over original patent |
| | | SUBTOTAL \$ 84. |

*for number previously paid, if greater; For Reissues, see above

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

\$ _____

SUBMITTED BY

Complete (if applicable)

| | | | | | |
|----------------------|---|--------------------------------------|---------------|-----------|--------------|
| Name (Print/Type) | Mark P. Vria | Registration No. (Attorney/Agent) | 43,973 | Telephone | 312-222-9350 |
| Signature |  | Date: | March 4, 2002 | | |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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**NONPUBLICATION REQUEST
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

| | | |
|----------------------|--|---------------------------|
| First Named Inventor | | Kenneth R. Schroll |
| Title | Adjustable, Reconfigurable, Multi- Port Optical Fiber Coupler | |
| Atty Docket Number | | 10001-29748 |

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

March 4, 2002

Date



Signature

Mark P. Vrila

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**